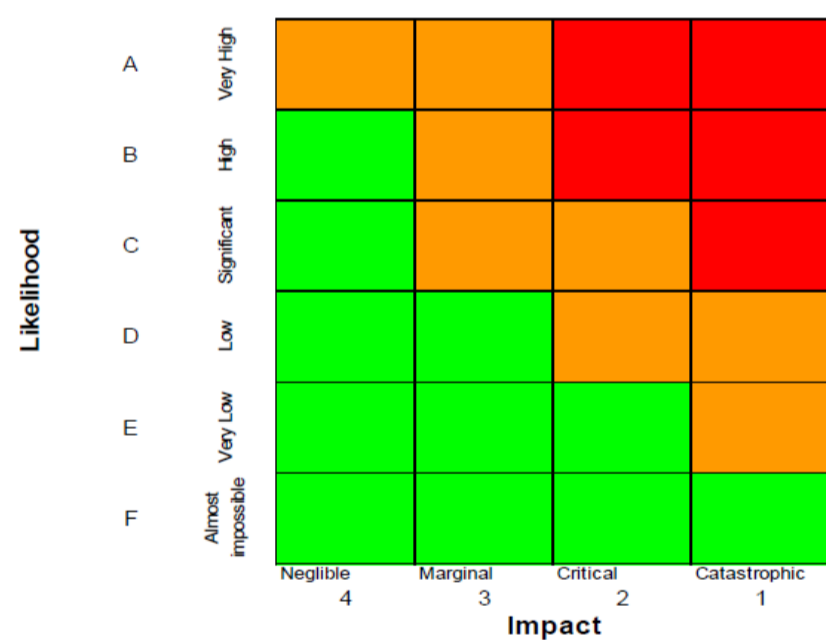


Ref.	Risk Title	Risk Description	Consequences	Opportunities	Risk Owner	Risk Manager	Current Controls	Mitigating Actions	Date added	Date Updated	Curr. Score	Tgt Score	Trend
	Business continuity	Loss of key staff due to welfare reform and potential reduction in required resource	Inability to meet statutory requirements and to deliver services according to performance targets	With the expanded partnership we can ensure that skills are more widely held	Head of ARP and Heads of Service	Head of ARP	Staff are encouraged to take IRRV exams and NVQs and management qualifications and internal structures allow for career progression - reasons for staff leaving are being monitored to identify whether this becomes a reason for staff leaving	Transformation programme has identified opportunities for training and staff development - monitoring of reasons for staff leaving shows.	01/04/14		C4	C4	Reached target score
	Business continuity	Loss of premises, loss of power/telecoms and/or loss of systems - emphasis must be placed on loss of systems in relation to cyber attack	Difficulty in finding some members of staff access to systems or loss of systems	Shared services mean that we operate from 4 centres and work can be carried out from 6 sites as well as home working.	Head of Billing, Benefits & Systems	Head of ARP	Systems mirrored at 2 sites enabling work to continue. All staff can work securely from home. West Suffolk IT update systems with new patches and protection regularly and systems are PSN compliant	Access to mirrored servers in Milderhall regularly tested. Business Continuity plan agreed with partners - fail testing carried out and lessons learned applied. West Suffolk IT have worked on specific mitigation of cyber attack which reduces risk	01/04/14		E2	E2	Reached target score
	Income from retained Business rates and Council Tax	Income from NDR and Council Tax do not meet budgeted amount, in particular, due to impact of pandemic on businesses and individuals and potential appeals against rateable value	The income available to the Councils is insufficient to meet needs	Income may exceed projected budget	Head of NNDR, Recovery & Enforcement	Head of NNDR, Recovery & Enforcement	Monitoring will continue for 2022/23. Revaluation 2023, appeals adds risk for life of 2017 list.	The NNDR1 and Taxbase calculations include provision for bad debt and appeals - on target to meet spending requirements this risk increased with Re-val in 2023	01/04/14		D2	D2	Reached target score
	Localised Council Tax Reduction Scheme	Unemployment levels rise to a level that make the scheme unaffordable - this risk has risen due to cost of living factors	Customers are asked to pay more than they can afford and arrears accrue	Increased income from Council Tax could be achieved	Head of Billing, Benefits & Systems	Head of Billing, Benefits & Systems	Current scheme has set payment levels at or below Attachment of Benefit levels for all but one Council which gives realistic opportunity to recover	Annual review proposals submitted, one partner consulting the public for their 2023/24 year scheme.	01/04/14		D3	D3	Reached target score
	A partner or partners leave the partnership	A reduction in partners could occur for a number of reasons in the future such as Local Govt reorganisation	Possible loss of key staff and TUPE implications - loss of resilience - also leading to increased cost of service and reduction in VFM	Develop trading opportunities to carry out some functions for other Councils	Head of ARP	Head of ARP	Partnering agreement includes notice period for cessation of partnership.	Opportunities for trading to be considered and business cases developed	01/04/14		E3	E3	Reached target score
	Annual Council Tax and NDR Billing and Benefits letter production	External printer does not issue daily or annual bills and letters within legal timescale	Bills may have first instalment date later than 01/4/2023 which could result in loss of significant savings to the Councils	The contract will continue to offer significant savings to the Councils	Head of Billing, Benefits & Systems	Head of Billing, Benefits & Systems	2015/16 to 2022/23 annual billing process successful and daily bills and letters designed and active with current printers	The process was carried out successfully last year and testing will ensure that all documents are sent with correct information and on time	01/04/14		E2	E2	Reached target score
	Housing benefit subsidy shortfall	Overpayments that are a local authority's fault can be claimed back as income if the value is less than 0.45% of the overall HB expenditure. Extrapolation by External Audit teams can also take Councils over the threshold	If LA error is not kept below this level it can have a significant financial impact on councils	To ensure that processes and procedures are correct and are followed and to ensure that backlogs do not effect LA error and that error is kept to a minimum so that Audit do not have cause to extrapolate subsidy overpayments	Head of Billing, Benefits & Systems	Head of Billing, Benefits & Systems	Rigorous procedures and high level of professional training. We have a quality assurance programme designed to test accuracy levels and look for and at areas of weakness. All councils were kept below LA error levels for audited claims from 2013/14 to 2020/21 due to robust challenge of Audit findings and extensive work to identify drill down to avoid extrapolation having an adverse effect.	To continue to use overtime and agency where vacancies mean that there is a risk to subsidy. To continue to apply QA to areas identified in audits and random testing to identify other areas of risk / error	11/12/14		D3	D3	Reached target score
	Universal Credit implementation	Uncertainty over future funding from DWP and DLUC. Affect upon customers currently receiving HB and resultant impact on customer teams	UC has been rolled out to new claimants with Move to UC pilot underway - the impact on customers and social Landlords will be enormous if the migration falters	To continue to work with the DWP and Stakeholders to jointly offer customers assistance in making the transition	Head of Billing, Benefits & Systems	Head of Billing, Benefits & Systems	DWP reconvened migration in 2022 but yet to publish a timetable for Councils. We will continue to monitor the position. Latest completion date end of 2024/2025.	Assess the impact of claims moving to the DWP - modelling can take place to understand how ARP will be impacted when the timetable for all councils is issued.	11/12/14		D3	D3	Reached target score
	Fraud and Error	Risk of Fraud entering the system if retained fraud team are not kept. LCTRS fraud and Council Tax fraud will not be investigated by the DWP - retained service has to be self funding	If no service is retained by the partnership then Fraud will not be identified in cases.	Funding to resource interventions in cases currently receiving benefit and to retain joint funding from County and Police	Head of NNDR, Recovery & Enforcement	Head of NNDR, Recovery & Enforcement	Team retained to investigate LCTRS, Tenancy, Cax discount/exemptions and NNDR since 01/09/15	Both Norfolk & Suffolk County Councils have agreed funding for Fraud team till 31/03/24. Cambridgeshire County Council yet to implement a new Fraud hub. Quarterly reporting to County Councils to demonstrate the impact of the Fraud team.	11/12/14		C2	C2	Reached target score
	NDR Revaluation	Risk the Value Office Agency might delay releasing property data in time for annual billing.	Inability to meet annual billing deadlines to collect NDR from April 2023.	To continue to engage with VOA & DLUHC at a national level as an early warning system and to shape implications.	Head of NNDR, Recovery & Enforcement	Head of ARP	Liaison with VOA, DLUHC & IRRV for updates.	Issue bills as soon as possible.	07.11.2022		D3	D3	Reached target score
	Cost of Living	Increased demand due to economic impact of inflationary pressures, could impact enforcement income.	Increased demand upon the service and reduction in collection/income.	To continue to engage with DWP & DLUHC at a national level as an early warning system and to shape implications.	SMT	SMT	Dependant upon Government management of rising cost of living pressures	To use overtime and agency where demand means that there is a risk to customer service standards, income and subsidy.	07.11.2022		D3	D3	Reached target score

Risk management process and toolkit

Step 3 – Prioritisation using the matrix below

- How likely is this risk?
- How big an impact will this risk have?



Steps 4 and 5 – Mitigation and monitoring

Mitigation	Monitoring
<ul style="list-style-type: none"> Assess current actions and controls ... Adequate or more needed. Within your Service Plans - develop specific SMART actions that will either reduce the likelihood of the risks or minimise the impact. What should the score be after you have taken action? 	<ul style="list-style-type: none"> Review the Risk Register with colleagues (for example, as part of your Service Plan) at least quarterly Risks changed? New risks? Need to report or escalate risks? Risks ranking changed?

Definitions

Likelihood	Impact	Example Descriptions
Very High	Negligible	Financial impact below £10k, no effect on partnership / service provision or reputation, minor delays to a project, limited physical consequences
High	Marginal	£10k - £200k, service slightly reduced broken bones/illness, objectives of one team not met, minor adverse local media, impact on an external inspection (s)
Significant	Critical	£200k - £500k, service suspended short term / reduced, loss of life/major illness, service objectives not met, industrial action, adverse national publicity, service taken over temporarily
Low	Catastrophic	£500k +, partnership / service suspended long term, statutory duties not delivered, major loss of life/large scale major illness, corporate objectives not met, mass staff leaving/unable to attract staff, remembered for years! Service taken over permanently
Very Low		
Almost Impossible		