

## Appendix 1

Review Work delivered in accordance with the Annual Audit Plan for 2009/10

Audit No.	Description of Audit	Frequency of Audit Coverage	Original audit days planned	Revised audit days planned	Actual audit days delivered	Original Scheduling	Status	Assurance Level applicable	Summary Report Details presented to Members
BRK/10/01	Corporate Governance and Risk Management 2008/09	Annually	8	8	8	Apr	Completed. Final Report issued 14 May 2009	Adequate	Audit Committee 12 June 2009
BRK/10/02	Work to support AGS 2008/09	Annually	10	10	10	Apr	Completed. Final Report issued 15 June 2009	Not applicable	Outcomes of audit review incorporated into Annual Report and Opinion for 2008/09 provided to the Audit Committee on 12 June 2009
BRK/10/03	Democratic Services - Committee services, electoral services, overview and scrutiny	3 yearly	10	10	10	May rescheduled to Aug	Completed. Final Report issued 7 October 2009	Adequate	Audit Committee 13 November 2009
BRK/10/04	Affordable Housing	2 yearly	10	10	10	May	Completed. Final Report issued 30 June 2009	Adequate	Audit Committee 13 November 2009
BRK/10/05	Economic Development - Business Support and skills, tourism, european programme	2 yearly	10	10	10	June	Completed. Final Report issued 14 September 2009	Adequate	Audit Committee 13 November 2009
BRK/10/06	Data Quality and Performance Indicators	Client Request	10	8	8	August and January	Part 1 Completed. Final Report issued 23 October 2009 Agreement reached with management to stop at this point and cancel the remaining 2 days allocated to carry out Part 2.	Adequate	<b>Audit Committee 11 June 2010</b>

<b>Audit No.</b>	<b>Description of Audit</b>	<b>Frequency of Audit Coverage</b>	<b>Original audit days planned</b>	<b>Revised audit days planned</b>	<b>Actual audit days delivered</b>	<b>Original Scheduling</b>	<b>Status</b>	<b>Assurance Level applicable</b>	<b>Summary Report Details presented to Members</b>
BRK/10/07	Environmental Health	3 yearly	20	20	20	Sep	Completed. Final Report issued 30 November 2009	Limited	<b>Audit Committee 11 June 2010</b>
BRK/10/08	Partnerships	Client Reques	10	10	10	Oct rescheduled to Jan	Completed. Final report issued 22 April 2010	Adequate	<b>Audit Committee 11 June 2010</b>
BRK/10/09	Housing and Council Tax Benefit (ARBP)	Annually	20	20	20	Nov	Completed. Final report issued 22 March 2010	Adequate	<b>Audit Committee 11 June 2010</b>
BRK/10/10	Accountancy Services	2 yearly	14	14	14	Dec	Completed. Final Report issued 19 February 2010	Adequate	<b>Audit Committee 11 June 2010</b>
BRK/10/11	Treasury Management	2 yearly	8	8	8	Dec	Completed. Final Report issued 25 January 2010	Good	<b>Audit Committee 11 June 2010</b>
BRK/10/12	Sundry Debtors and Housing Act Advances	2 yearly	10	10	10	Jan	Completed. Final Report issued 26 March 2010	Adequate	<b>Audit Committee 11 June 2010</b>
BRK/10/13	ARBP Governance	Annually	8	8	8	Jan	Completed. Final Report issued 29 April 2010	Adequate	<b>Audit Committee 11 June 2010</b>
BRK/10/14	Corporate Governance and Risk Management 2009/10	Annually	8	8	8	Feb	Completed. Final Report issued 26 March 2010	Good	<b>Audit Committee 11 June 2010</b>
BRK/10/15	Receipt, Handing and Banking of Remittances	2 yearly	10	10	10	Feb	Completed. Final Report issued 10 March 2010	Adequate	<b>Audit Committee 11 June 2010</b>

Audit No.	Description of Audit	Frequency of Audit Coverage	Original audit days planned	Revised audit days planned	Actual audit days delivered	Original Scheduling	Status	Assurance Level applicable	Summary Report Details presented to Members
BRK/10/16	Work to support AGS 2009/10	Annually	10	10	10	Mar	Completed. Final Report issued 14 April 2010	Not applicable	<b>Outcomes of audit review incorporated into Annual Report and Opinion for 2009/10 provided to the Audit Committee on 11 June 2010</b>
n/a	Systems Audit Follow up		10	10	10				
<b>TOTAL PLANNED SYSTEMS AUDIT WORK</b>			<b>186</b>	<b>184</b>	<b>184</b>	<b>100%</b>			

#### PLANNED COMPUTER AUDIT WORK

BRK/10/17	Integra application	3 yearly	12	12	12	Q1	Completed. Final Report issued 18 August 2009	Adequate	Audit Committee 13 November 2009
BRK/10/18	Software Licensing (FAST)	4 yearly	8	8	8	Q2	Completed. Final Report issued 15 December 2009	Adequate	<b>Audit Committee 11 June 2010</b>
BRK/10/19	Academy Application	2 yearly	12	12	12	Q2	Completed. Final Report issued 21 September 2009	Adequate	Audit Committee 13 November 2009
BRK/10/20	IT security, procurement and end user controls	3 yearly	13	13	13	Q3	Completed. Final Report issued 27 January 2010	Adequate	<b>Audit Committee 11 June 2010</b>
n/a	Computer Audit Follow up		6	6	6				
<b>TOTAL PLANNED COMPUTER AUDIT WORK</b>			<b>51</b>	<b>51</b>	<b>51</b>	<b>100%</b>			

#### GRAND TOTAL OF PLANNED WORK

			<b>237</b>	<b>235</b>	<b>235</b>	<b>100%</b>			
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