

Summary of Agreed Internal Audit Recommendations noted at 31 March 2010

Reference	Description	Audit Opinion	Completed at 31 March 2009			Completed during 2009/10			Partly Implemented			Outstanding			Unable to confirm status			Not yet due to be implemented			Total	
			H	M	L	H	M	L	H	M	L	H	M	L	H	M	L	H	M	L		
Systems Audits																						
BRK/08/16	People First - Cabinet Office	n/a				1		2												3		
BRK/08/18	Affordable Housing	Limited					2					1								3		
BRK/08/23	Accountancy Services	Adequate						1												1		
BRK/09/01	Work to support AGS (07/08)	n/a	3	7																10		
BRK/09/02	Asset Management	Limited		3	1	4	4			1										13		
BRK/09/03	Procurement	Limited				8	2													10		
BRK/09/04	Waste Management	Limited	1	2		3	2	1												9		
BRK/09/05	Homelessness	Adequate		6																6		
BRK/09/06	Partnerships	Adequate		1			5													6		
BRK/09/07	Concessionary Fares	Limited		3		2	5													10		
BRK/09/08	Data Quality	Limited	1	5		3	1	2												12		
BRK/09/09	Exchequer Services	Adequate		1	1	2	2	2												8		
BRK/09/10	Payroll and Human Resources	Adequate		2	3			2												7		
BRK/09/11	Council Tax and NNDR	Adequate					6	1												7		
BRK/09/12	Housing and Council Tax Benefit	Adequate					5	4												9		
BRK/09/13	ARP Governance	Adequate					6													6		
BRK/10/01	Corporate Governance and Risk Management (08/09)	Adequate					3													3		
BRK/10/02	Work to support AGS (08/09)	n/a				2														2		
BRK/10/03	Member Services	Adequate						2												2		
BRK/10/04	Affordable Housing	Adequate				1	2													3		
BRK/10/05	Economic Development	Adequate				1		1												2		
BRK/10/06	Data Quality	Adequate						1											1	2		
BRK/10/07	Environmental Health	Limited				1	6	1											4	12		
BRK/10/08	Partnerships	Adequate																	5	5		
BRK/10/09	Housing and Council Tax Benefit	Adequate																	4	9		
BRK/10/10	Accountancy Services	Adequate						1	1			1							1	4		
BRK/10/11	Treasury Management	Good						1												1		
BRK/10/12	Sundry Debtors	Adequate					1	5									1	2	1	10		
BRK/10/13	ARP Governance	Adequate																1	1			
BRK/10/14	Corporate Governance and Risk Management (09/10)	Good																		0		
BRK/10/15	Receipt, Handling and Banking of Remittances	Adequate						1											1	3		
BRK/10/16	Work to support AGS (09/10)	n/a																	2	2		
Totals for Systems Audit Recommendations			5	30	5	28	52	28	1	0	1	0	2	0	0	0	0	0	1	20	9	177
Computer Audits																						
BRK/07/11	Business Continuity	n/a				4	1		1											6		
BRK/08/02	Information Security Management	n/a				1	2	2		2			1							8		
BRK/08/20	Remote Access	Limited				2	8	4					2							16		
BRK/09/15	Environmental Health Application	Limited	1	6	1		1	1						1						11		
BRK/09/16	Anti-Virus and Spyware	Adequate		2			2													4		
BRK/09/17	General IT Controls	Adequate		5			4	2												11		
BRK/10/17	Integra Application	Adequate						3												3		
BRK/10/18	Software Licensing	Adequate						1					1							2		
BRK/10/19	Academy Application	Adequate				2	3							1						6		
BRK/10/20	IT Security, Procurement and End User Controls	Adequate								2									4	7		
Totals for Computer Audit Recommendations			1	13	1	9	21	13	1	4	0	0	4	2	0	0	0	0	0	4	1	74