

AGENDA

NOTE: In the case of non-members, this agenda is for information only

- Committee - **GOVERNANCE & AUDIT COMMITTEE**
- Date & Time - **Friday, 2nd September, 2016 at 10.00 am**
- Venue - **Norfolk Room, Conference Suite, Elizabeth House, Dereham**

Members of the Committee requiring further information, or with specific questions, are asked to raise these with the appropriate officer at least two working days before the meeting. If the information requested is available, this will be provided, and reported to Committee.

Members

Mr W.P. Borrett (Chairman)	Mr M J Nairn
Mr P S Wilkinson (Vice-Chairman)	Mr M Taylor
Mr P.J. Hewett	Mr T. Ludlow, Independent Member
Mrs L.H. Monument	Mr K. Stevens, Independent Member

PERSONS ATTENDING THE MEETING ARE REQUESTED TO TURN OFF MOBILE TELEPHONES

Democratic Services
Elizabeth House, Walpole Loke,
Dereham Norfolk, NR19 1EE

Date: Wednesday, 24 August 2016

PART A - ITEMS OPEN TO THE PUBLIC

	<u>Page(s) herewith</u>
1. <u>MINUTES</u> To confirm the minutes of the meeting held on 10 June 2016.	6 - 16
2. <u>ACTIONS ARISING FROM THE MINUTES (IF ANY) (STANDING ITEM)</u>	
3. <u>APOLOGIES</u> To receive apologies for absence.	
4. <u>URGENT BUSINESS</u> To note whether the Chairman proposes to accept any item as urgent business, pursuant to Section 100(B)(4)(b) of the Local Government Act 1972.	
5. <u>DECLARATION OF INTERESTS</u> Members are reminded that under the Code of Conduct they are not to participate in the whole of an agenda item to which they have a Disclosable Pecuniary Interest. In the interests of transparency, Members may also wish to declare any other interests that they have, in relation to an agenda item that supports the Nolan principles detailed within the Code of Conduct	
6. <u>NON-MEMBERS WISHING TO ADDRESS THE MEETING</u> To note the names of any non-Members wishing to address the meeting.	
7. <u>QUARTERLY RISK REPORT</u> Report of Executive Director Strategy & Governance, Maxine O'Mahony.	17 - 25
8. <u>2015-16 AUDITED FINANCIAL STATEMENT OF ACCOUNTS - FORMAL APPROVAL</u> Executive Director Commercialisation & S151 Officer.	26 - 139
9. <u>AUDIT RESULTS REPORT</u> External Audit (EY).	140 - 161
10. <u>EXTERNAL QUALITY ASSESSMENT OF INTERNAL AUDIT (VERBAL UPDATE)</u> Emma Hodds, Internal Audit Consortium Manager.	
11. <u>INDEPENDENT PERSONS (VERBAL UPDATE)</u> Emma Hodds, Internal Audit Consortium Manager.	
12. <u>APPOINTMENT OF EXTERNAL AUDITORS</u> Emma Hodds, Internal Audit Consortium Manager.	162 - 166

	<u>Page(s)</u> <u>herewith</u>
13. <u>ANNUAL REVIEW OF RESULTS OF TRADED ACTIVITIES</u> Executive Director Commercialisation & S151 Officer.	167 - 170
14. <u>TRAINING (STANDING ITEM)</u> To note if there are any training issues/ requests.	
15. <u>WORK PROGRAMME</u> A copy of the Committee's work programme is attached. The Committee is asked to consider whether any additions, deletions or amendments to the programme are required.	171
16. <u>NEXT MEETING</u> To note the arrangements for the next meeting to be held on Friday, 9 December 2016 at 10.00am in the Norfolk Room.	