

Appendix 1

Review Work delivered in accordance with the Annual Audit Plan for 2011/12 plus Ad-Hoc Work requested by Management

Audit No.	Description of Audit	Frequency of Audit Coverage	Original Planned Days	Revised Days Planned	Days Delivered	Original Scheduling	Status	Assurance Level applicable	Summary Report Details presented to Members
PLANNED SYSTEMS AUDIT WORK									
BRK/12/01	Environmental Planning and Building Control	3-yearly	10	23	23	April	Complete Final Report issued 4 April 2012	Limited	Audit Committee 15 June 2012
BRK/12/02	Customer Services	3-yearly	10	0.5	0.5	May Deferred	Following detailed audit planning with management, it has been agreed that audit assurance in this area would be of limited value at this time. As such, future audit needs in this area will be assessed in the course of audit planning for 2012/13.		
BRK/12/03	Community Development	3-yearly	10	10	10	June January	Complete Final Report issued 27 April 2012	Limited	Audit Committee 15 June 2012
BRK/12/04	Data Protection, FoI, Legal Services	3-yearly	10	10	10	June	Complete Final Report issued 16 August 2011	Adequate	Audit Committee 25 November 2011
BRK/12/05	Sundry Debtors	2-yearly	10	10	10	September	Complete Final Report issued 29 September 2011	Adequate	Audit Committee 25 November 2011
BRK/12/06	Culture and Leisure: PFI Contract Monitoring and Dual Use Agreements	3-yearly	10	13	13	September February	Complete Final Report issued 16 April 2012	PFI - Good Dual Use Agreements - Adequate	Audit Committee 15 June 2012
BRK/12/07	Accountancy Services	2-yearly	14	16	16	October	Complete Final Report issued 25 October 2011	Good	Audit Committee 25 November 2011
BRK/12/08	Remittances	2-yearly	10	10	10	November	Complete Final Report issued 14 November 2011	Limited	Audit Committee 15 June 2012
BRK/12/09	Treasury Management	2-yearly	8	8	8	December	Complete Final Report issued 12 December 2011	Good	Audit Committee 15 June 2012
BRK/12/10	Housing and Council Tax Benefits	Annual	20	20	20	December	Complete Final Report issued 20 February 2012	Adequate	Audit Committee 15 June 2012
BRK/12/11	Work to support the AGS	Annual	10	10	10	January	Complete Final Report issued 30 March 2012	Not Applicable	Audit Committee 15 June 2012
BRK/12/12	ARP Governance	Annual	8	8	8	January	Complete Final Report issued 16 February 2012	Good	Audit Committee 15 June 2012

BRK/12/13	Change Control and Corporate Governance	Annual	12	12	12	February	Complete Final Report issued 26 April 2012	Adequate	Audit Committee 15 June 2012
BRK/12/14	Development Services	2-yearly	15	0	0	February December	Combined with BRK/12/01		
	Systems Audit Follow Up	Annual	8	8	8				
TOTAL PLANNED SYSTEMS AUDIT WORK			165	158.5	158.5	100%			

PLANNED COMPUTER AUDIT WORK

BRK/12/15	Virus Protection and Spyware	Determined by Computer Audit Needs Assessment	8	8	8	July August	Complete Final Report issued 25 October 2011	Limited	Audit Committee 25 November 2011
BRK/12/16	IT Governance		15	15	15	August January	Complete Final Report issued 18 May 2012	Adequate	Audit Committee 15 June 2012
BRK/12/17	Internet and E-mail		8	8	8	October November	Complete Final Report issued 13 February 2012	Limited	Audit Committee 15 June 2012
BRK/12/18	Cash Receipting Application		10	0	0	November February	There have been delays with the implementation of the Council's replacement cash receipting system and it has been subsequently agreed with the Section 151 Officer to reschedule the audit to September 2012, to permit sufficient time for the new system to become embedded before subjecting it to audit review.		
BRK/12/19	Licencing Application		10	10	10	January November	Complete Final Report issued 12 January 2012	Adequate	Audit Committee 15 June 2012
	Computer Audit Follow Up	Annual	4	4	4				
TOTAL PLANNED COMPUTER AUDIT WORK			55	45	45	100%			

TOTAL PLANNED WORK	220	203.5	203.5	100%		
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EXTRA WORK REQUESTED

	REV ACTIVE Project Claims 6-11	Requested by Section 151 Officer	6	6	6	March	Complete Final Report issued 22 May 2012	Not Applicable	Audit Committee 15 June 2012
TOTAL OF EXTRA WORK UNDERTAKEN			6	6	6	100%			

GRAND WORK TOTAL	226	209.5	209.5	100%		
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