

Summary of Agreed Internal Audit Recommendations noted at 31 March 2012

Reference	Description	Audit Opinion	Completed at 31 March 2011			Completed / Superseded April 2011 - September 2011			Completed / Superseded October 2011 - March 2012			Outstanding			Unable to confirm status			Not yet due to be implemented			Total		
			H	M	L	H	M	L	H	M	L	H	M	L	H	M	L	H	M	L			
<b>Systems Audits</b>																							
BRK/08/18	Affordable Housing	Limited		2			1														3		
BRK/10/07	Environmental Health	Limited	1	8	1		2														12		
BRK/10/08	Partnerships	Adequate		4									1								5		
BRK/10/09	Housing and Council Tax Benefit	Adequate		3	5								1								9		
BRK/11/01	Development Services	Limited	1	4		1							2	2							10		
BRK/11/02	Marketing and Communications	Adequate			1															1	2		
BRK/11/03	Homelessness	Adequate				1	2	3													6		
BRK/11/04	Procurement	Adequate		1		1							4	1							7		
BRK/11/06	Asset Management	Limited		3	1		1	2		1			2								10		
BRK/11/08	Partnerships	Adequate		1			1	1					1								4		
BRK/11/09	Data Quality	Adequate								1											1		
BRK/11/10	Council Tax and NNDR	Limited		1	3		3	1		2	1		1	1							13		
BRK/11/11	Payroll and HR	Adequate					1	3				1									6		
BRK/11/13	Housing and Council Tax Benefit	Adequate					2			1											3		
BRK/11/14	ARP Governance	Good					1														1		
BRK/11/15	Work to support AGS (10/11)	n/a					1														1		
BRK/12/01	Environmental Planning and Development Services	Limited																		8	8		
BRK/12/03	Community Development	Limited																		8	8		
BRK/12/04	Data Protection, Freedom of Information, Legal	Adequate									3	2									5		
BRK/12/05	Sundry Debtors	Adequate								1	1										2		
BRK/12/06	Culture and Leisure	Contract Monitoring PFI - Good Dual Use Agreements - Adequate																		1	1	2	
BRK/12/07	Accountancy Services	Good									1										1		
BRK/12/08	Remittances	Limited									2	1									3		
BRK/12/10	Housing and Council Tax Benefits	Adequate									1										1	2	
BRK/12/11	Work to support the AGS	n/a																			1	1	
BRK/12/12	ARP Governance	Good																			2	2	
BRK/12/13	Change Control and Corporate Governance	Adequate																			1	1	2
<b>Totals for Systems Audit Recommendations</b>			<b>2</b>	<b>27</b>	<b>11</b>	<b>3</b>	<b>15</b>	<b>10</b>	<b>0</b>	<b>9</b>	<b>8</b>	<b>4</b>	<b>11</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>18</b>	<b>6</b>	<b>129</b>	
<b>Computer Audits</b>																							
BRK/07/11	Business Continuity	n/a	4	1						1												6	
BRK/08/02	Information Security Management	n/a	1	2	2						2											7	
BRK/08/20	Remote Access	Limited	2	9	4						2											17	
BRK/09/17	General IT Controls	Adequate		8	2		1															11	
BRK/10/18	Software Licensing	Adequate			1						1											2	
BRK/10/20	IT Security, Procurement, End User Controls	Adequate		1							2			2								5	
BRK/11/16	Network Infrastructure	Limited					5	1			3		1	1								11	
BRK/12/15	Anti-Virus and Spyware	Limited									5	1		1								7	
BRK/12/17	Internet and E-mail	Limited											1	2							4	7	
BRK/12/19	Licensing Application	Adequate									1			2								3	
<b>Totals for Computer Audit Recommendations</b>			<b>7</b>	<b>21</b>	<b>9</b>	<b>0</b>	<b>6</b>	<b>1</b>	<b>1</b>	<b>16</b>	<b>1</b>	<b>1</b>	<b>8</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>76</b>	