

AGENDA

NOTE: In the case of non-members, this agenda is for information only

- Committee - **GOVERNANCE & AUDIT COMMITTEE**
- Date & Time - **Thursday, 25th July, 2019 at 10.00 am**
- Venue - **Norfolk Room, Conference Suite, Elizabeth House, Dereham**

Members of the Committee requiring further information, or with specific questions, are asked to raise these with the appropriate officer at least two working days before the meeting. If the information requested is available, this will be provided, and reported to Committee.

Members

Mr W.P. Borrett (Chairman)	Mrs J. James
Mr R.G. Kybird (Vice-Chairman)	Mrs L.H. Monument
Mr H. E. J. Clarke	Mr R.W. Duffield (Substitute Member)
Mrs E. Grey	

Democratic Services
Elizabeth House, Walpole Loke,
Dereham Norfolk, NR19 1EE

Date: Tuesday, 16 July 2019

PART A - ITEMS OPEN TO THE PUBLIC

	<u>Page(s) herewith</u>
1. <u>MINUTES</u> To confirm the minutes of the meeting held on 5 June 2019.	5 - 12
2. <u>ACTIONS ARISING FROM THE MINUTES (IF ANY) (STANDING ITEM)</u>	
3. <u>APOLOGIES</u> To receive apologies for absence.	
4. <u>URGENT BUSINESS</u> To note whether the Chairman proposes to accept any item as urgent business, pursuant to Section 100(B)(4)(b) of the Local Government Act 1972.	
5. <u>DECLARATION OF INTERESTS</u> The duties to register, disclose and not to participate for the entire consideration of the matter, in respect of any matter in which a Member has a disclosable pecuniary interest are set out in Chapter 7 of the Localism Act 2011. Members are also required to withdraw from the meeting room as stated in the Standing Orders of this Council.	
6. <u>NON-MEMBERS WISHING TO ADDRESS THE MEETING</u> To note the names of any non-members wishing to address the meeting.	
7. <u>TRAINING (STANDING ITEM)</u> To note if there are any training issues/ requests.	13
8. <u>Q1 STRATEGIC RISK REPORT</u> Report of the Executive Director for Strategy & Governance.	14 - 26
9. <u>AUDIT RESULTS REPORT</u> Report of External Audit, Ernst & Young.	27 - 69
10. <u>AUDITED FINANCIAL STATEMENTS 2018-19</u> Report of the Executive Director for Commercialisation (Statutory S151 Officer).	70 - 187
11. <u>TREASURY MANAGEMENT OUT-TURN 2018-19</u> Report of the Executive Director for Commercialisation (Statutory S151 Officer).	188 -203
12. <u>ANNUAL REPORT ON STANDARDS ARRANGEMENTS</u> Report of the Executive Director Strategy & Governance.	204 - 207

	<u>Page(s)</u> <u>herewith</u>
<p>A training session will take place at the end of the meeting on Standards arrangements, Hearing Panels, and Members' responsibilities.</p>	
<p>13. <u>WORK PROGRAMME</u></p> <p>A copy of the Committee's work programme is attached. The Committee is asked to consider whether any additions, deletions or amendments to the programme are required.</p>	208 - 209
<p>14. <u>NEXT MEETING</u></p> <p>To note the arrangements for the next meeting to be held on Thursday, 3 October 2019 at 10am in the Norfolk Room.</p>	
<p>15. <u>EXCLUSION OF PRESS AND PUBLIC</u></p> <p>To consider passing the following resolution:</p> <p>“That under Section 100(A)(4) of the Local Government Act 1972, the press and the public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in paragraph 3 of Schedule 12A to the Act.”</p> <p style="text-align: center;">PART B – ITEM FROM WHICH THE PRESS AND THE PUBLIC ARE EXCLUDED</p>	
<p>16. <u>Q1 STRATEGIC RISK REPORT</u></p> <p style="text-align: center;">TRAINING SESSION - STANDARDS ARRANGEMENTS, HEARING PANELS AND MEMBERS' RESPONSIBILITIES</p> <p>Facilitated by Rory Ringer, Democratic Services Manager and Sarah Wolstenholme-Smy, Legal Services Manager.</p>	210