

AGENDA

NOTE: In the case of non-members, this agenda is for information only

- Committee - **GOVERNANCE & AUDIT COMMITTEE**
- Date & Time - **Friday, 16th February, 2018 at 10.00 am**
- Venue - **Norfolk Room, Conference Suite, Elizabeth House, Dereham**

Members of the Committee requiring further information, or with specific questions, are asked to raise these with the appropriate officer at least two working days before the meeting. If the information requested is available, this will be provided, and reported to Committee.

Members

Mr W.P. Borrett (Chairman)	Mrs L.H. Monument
Mr P. S. Wilkinson (Vice-Chairman)	Mr M. J. Nairn
Mr P.J. Hewett	Mr J. Newton
	Mr J. Plaskett, Independent Lay Advisor

PERSONS ATTENDING THE MEETING ARE REQUESTED TO TURN OFF MOBILE TELEPHONES

Democratic Services
Elizabeth House, Walpole Loke,
Dereham Norfolk, NR19 1EE

Date: Wednesday, 7 February 2018

PART A - ITEMS OPEN TO THE PUBLIC

	<u>Page(s) herewith</u>
1. <u>MINUTES</u> To confirm the minutes of the meeting held on 1 December 2017.	5 - 10
2. <u>ACTIONS ARISING FROM THE MINUTES (IF ANY) (STANDING ITEM)</u>	
3. <u>APOLOGIES</u> To receive apologies for absence.	
4. <u>URGENT BUSINESS</u> To note whether the Chairman proposes to accept any item as urgent business, pursuant to Section 100(B)(4)(b) of the Local Government Act 1972.	
5. <u>DECLARATION OF INTERESTS</u> The duties to register, disclose and not to participate for the entire consideration of the matter, in respect of any matter in which a Member has a disclosable pecuniary interest are set out in Chapter 7 of the Localism Act 2011. Members are also required to withdraw from the meeting room as stated in the Standing Orders of this Council.	
6. <u>NON-MEMBERS WISHING TO ADDRESS THE MEETING</u> To note the names of any non-members wishing to address the meeting.	
7. <u>TRAINING (STANDING ITEM)</u> To note if there are any training issues/ requests.	
8. <u>QUARTER 3 2017-18 RISK REPORT</u> Report of the Executive Director for Strategy & Governance.	11 - 23
9. <u>RISK MANAGEMENT POLICY</u> Report of the Executive Director for Strategy & Governance.	24 - 44
10. <u>ANNUAL GRANT CERTIFICATION REPORT 2016/17</u> External Audit, Ernst & Young.	45 - 53
11. <u>2017/18 AUDIT PLANNING REPORT</u> Report of External Audit, Ernst & Young.	54 - 92
12. <u>UPDATED TREASURY MANAGEMENT POLICY, STRATEGY AND PRUDENTIAL INDICATORS 2018-19</u> Report of the Executive Director, Commercialisation & S151 Officer.	93 - 136

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13. <u>STRATEGIC AND ANNUAL INTERNAL AUDIT PLANS 2018/19</u>	137 - 155
Report of the Head of Internal Audit.	
14. <u>AUDIT COMMITTEE SELF-ASSESSMENT EXERCISE FOR 2017/18</u>	156 - 164
Report of the Head of Internal Audit.	
15. <u>INTERNAL AUDIT RECOMMENDATIONS</u>	
Verbal update by the Head of Internal Audit.	
16. <u>WORK PROGRAMME</u>	165
A copy of the Committee's work programme is attached. The Committee is asked to consider whether any additions, deletions or amendments to the programme are required.	
17. <u>NEXT MEETING</u>	
To note the arrangements for the next meeting on Friday, 8 June 2018 at 10.00am in the Norfolk Room.	
18. <u>EXCLUSION OF PRESS AND PUBLIC</u>	
To consider the following resolution: "That under Section 100(4)(A) of the Local Government Act 1972, the press and the public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in paragraphs 3 and 4 of Schedule 12A to the Act".	
19. <u>STRATEGIC RISK REPORT</u>	166
Report of the Executive Director for Strategy & Governance.	